



Are any public-reported earthquake precursors valid?

N. E. Whitehead, U. Ulusoy, H. Asahara, M. Ikeya

► To cite this version:

N. E. Whitehead, U. Ulusoy, H. Asahara, M. Ikeya. Are any public-reported earthquake precursors valid?. Natural Hazards and Earth System Sciences, 2004, 4 (3), pp.463-468. hal-00299148

HAL Id: hal-00299148

<https://hal.science/hal-00299148>

Submitted on 27 Jul 2004

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Are any public-reported earthquake precursors valid?

N. E. Whitehead¹, U. Ulusoy², H. Asahara¹, and M. Ikeya¹

¹Quantum Geophysics Laboratory, Osaka University, Toyonaka, Osaka 5600043, Japan

²Department of Physics Engineering, Hacettepe University, 06532 Beytepe, Ankara, Turkey

Received: 6 April 2004 – Revised: 21 June 2004 – Accepted: 22 June 2004 – Published: 27 July 2004

Abstract. This article examines retrospective public-supplied precursor reports statistically, and confirms published hypotheses that some alleged precursors within 100 km and within a day prior to the large 1995 Kobe and 1999 Izmit earthquakes, may be valid. The confirmations are mostly at the $p < 0.001$ level of significance. Most significant were alleged meteorological and geophysical precursors, and less often, animal reports. The chi-squared test used, for the first time eliminates the distorting effects of psychological factors on the reports. However it also shows that correct reports are diluted with about the same number which are merely wishful thinking, and obtaining more reliable data would be logistically difficult. Some support is found for another published hypothesis in which other precursors occurred within the ten days prior to the earthquake.

1 Introduction

Most discussions of alleged earthquake precursors have been anecdotal, but some of the larger and more recent surveys present summary statistical information and objective testable hypotheses. Tributsch (1984) summarising alleged precursor times from more than 70 historical earthquakes found a mean of 21 h. Rikitake (2001) besides confirming that prior-day pattern, presented data on the distances of precursor reports for several large Japanese earthquakes prior to 1995. For the Ansei-Tokai, Nobi and Great Kanto Earthquakes 82% of reports were of events within 100 km as shown in Fig. 1.

These numerical hypotheses can be tested against other data sets from earthquakes, but they do not deal with the possibility that most reports originate because of mere psychological reasons. This paper proposes a way of allowing for the psychological contribution and applies it to the Kobe (M7.3, 17/1/1995) and Izmit (M7.4, 17/8/1999) earthquakes.

2 Methods

Following the Kobe earthquake, a media appeal for eyewitness accounts of alleged precursors yielded 1189 usable statements for time/distance hypothesis testing, which compilers divided for convenience into classes: meteorological, geophysical, human, animal, birds, fish, reptiles, insects, plants and sundry, the latter including reports of abnormal radio and TV interference (Wadatsumi, 1995). Wadatsumi's publication included data from the compilation work of the Kansai Science Forum. The "geophysical" class included subterranean sounds, well water changes and sea anomalies. The exact number of reports usable depended on whether times and distances were submitted in sufficient detail.

Other workers independently collected reports for the Izmit earthquake and compiled another 664 eyewitness accounts, similarly divided into several classes (Ulusoy and Ikeya, 2001). For neither earthquake were reports edited and rejected even though many were arguably describing common events, assumed by respondents to be significant and precursory. Testing using unedited raw data is one commonly accepted procedure within the discipline of survey techniques. Imposed selection criteria might have sharpened still further the results which follow but they would be subject to debate.

Chi-squared tests were used, which do not depend on any particular shape or symmetry being present in the data. The first test compared the relative numbers in the various classes for reports within and beyond 100 km, (regardless of when they occurred) and the second compared the relative numbers in classes for reports from the day before, with all other times (regardless of the distance of occurrence).

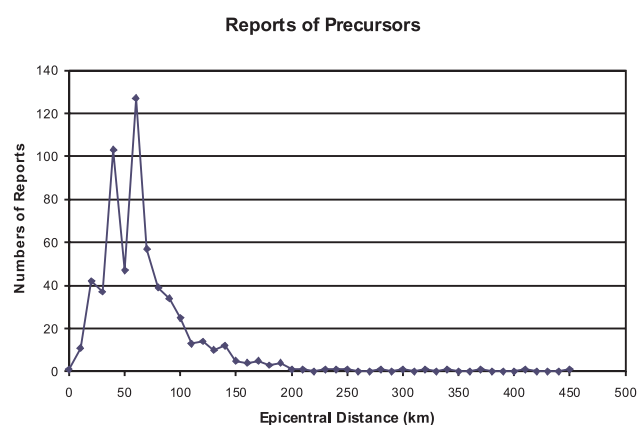
The 100 km test limit was also supported by three considerations independent of Tributsch (1984) and Rikitake (2001). Firstly in our experience for an earthquake of M7.4, (e.g. Izmit), a 100 km radius includes almost all visual effects in the geosphere such as landslides and earth fissures, and arguably any mechanisms contributing to precursors. Secondly about 70% of the reports from the Kobe earthquake and Izmit

Table 1. Chi-squared distance analysis for Izmit earthquake reports (all precursor times combined).

	Observed <101 km	Expected for >100 km	Observed >100 km	Chisquared
Meteorological	120	54.35	62	1.075
Geophysical	65	29.44	17	0.856
Animals	242	109.61	112	0.051
Electronic	30	13.58	16	0.427
Totals:	457	207	207	2.411
			$p =$	0.146

Table 2. Chi-squared analysis of Izmit earthquake data for test distance of 90 km.

	Observed <91 km	Expected for >90 km	Observed >90 km	Chisquared
Animals	145	174.4	209	4.94
Meteorological	82	83.4	100	0.024
Geophysical	59	19.2	23	82.60
Electronic	16	25.02	30	3.26
Totals:	302	302	362	90.83
			$p =$	1.45×10^{-19}

**Fig. 1.** Distribution of precursor reports. Distances from epicentre (from data in Rikitake, 2001).

earthquake were from 100 km or less and it was undesirable to decrease the statistical power of the chi-squared test by making the test distance larger and further unbalancing the ratio of reports from inside and outside 100 km. Thirdly data from an independent survey on disturbed sleep patterns in the Kobe-Osaka region before the earthquake (Ikeya, in preparation, 2003¹) suggested a cut-off for any effect at 100 km.

There were two similar null hypotheses for the test. The first was that the proportion of the number of reports in different classes was merely due to psychological invention, and would not differ regardless of distance of reported precursor before the earthquake. The second was similarly that the proportion of the number of reports in different classes was

merely due to psychological invention, and would not differ regardless of the time of reported precursors before the earthquake. Significant deviations would indicate the presence of possible precursors (though possibly diluted by mistaken reports).

There are no surveys which have specifically checked the null hypotheses. However if meteorological etc. conditions for a range of days before an earthquake are similar, it seems reasonable that the proportions of reports in different classes should be similar. Similarly if the conditions are similar over a range of distances, it seems reasonable that proportions of reports in different classes should be similar.

Following the main statistical tests, it was possible to explore the data and it will be shown that this provided further evidence that the null hypotheses were reasonable.

The basic assumption of uniformity with distance was violated for the Kobe region for one class of reports about fish, because most reports from more than 50 km away were inland, and could not furnish fish observations. The effects of this factor were checked.

There was a large anticyclone over the survey areas in both countries during the earthquake period giving relatively stable and uniform weather for hundreds of kilometres, supporting the idea of constant conditions inside and outside the test distance.

Report classes for the chi-squared test were combined if necessary to achieve minimum frequencies per cell of 4. Calculations used Excel spreadsheets including the function CHITEST for calculating chi-squared significance probabilities. Reports were rare events from large media audiences, hence they are a classic case of Poisson statistics, i.e. the errors on numbers of reports in Tables 1–5 which follow, are the square root of the numbers, hence relatively small,

¹Ikeya, M.: Sleep patterns before Kobe earthquake, in Earthquake Precursors, Kerala, India, 2005.

Table 3. Izmit earthquake chi-squared time test.

	Observed, Aug 16	Observed <Aug 16	Expected for Aug 16	Chisquared
Meteorological	55	37	21.82	50.45
Geophysical	12	66	38.92	18.62
Animals	63	118	69.58	0.624
Electronic	8	13	7.66	0.014
Sums	138	234	138	69.71
			$p=$	4.91×10^{-15}

meaning relative proportions in the absence of precursors should be moderately stable. Chi-squared tests presented later similarly indicate that proportions in the classes would not fluctuate wildly under identical resampling. The special strength of the chi-squared technique is that since it looks at relative proportions in different classes it does not matter if absolute report numbers differ with distance.

3 Results and discussion

3.1 Izmit earthquake

3.1.1 Izmit earthquake: distance analysis

The first chi-squared tests used the Izmit earthquake data with the results shown in Table 1. Expected values for reports at >100 km were calculated by proportion from data at <100 km. Similar calculations for expected values were performed for Tables 2–6. Where the figure “ <101 km” occurs it means distances of 100 km and below. Where “ >100 km” occurs it means distances of 101 km and above.

Please note that classifications cannot be the same for all tables, because it was necessary to combine some classifications to achieve the minimum report numbers necessary for a valid chi-squared test

The Chi-squared result of 0.146 for the four classes was not significant at the $p=0.05$ level. We therefore cannot reject the null hypothesis that the two distance classes are the same, i.e. no effect of the earthquake has been reported.

Subsequent investigation of how chi-squared changed with distance showed that the choice of 100 km as a test distance was not quite optimum for this set of data. It would have been dramatically more significant to choose 90 km instead, which is a rather small change from 100 km. For a test distance of 90 km the chi-squared value increased to a very high 91 ($p=1 \times 10^{-19}$). Choosing a variety of test distances showed that at 90 km and below, highly significant chi-squared values were obtained and at 100 km and above, non-significant values of chi-squared were obtained. The results are shown in graphical form in Fig. 2.

For 100 km and above, low chi-squared values result and this shows the null hypothesis is reasonable. Above 100 km proportions in report classes do not differ from each other with change in distance. Distances below 90 km are dramatically different. However to follow good statistical practice,

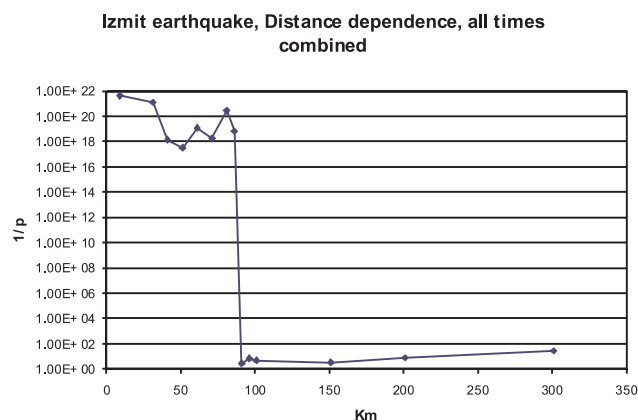


Fig. 2. Change of chi-squared with distance (Izmit earthquake data). The ordinate here and in later Figs. 3–4 is the reciprocal of the significance level for each chi-squared value.

in which test hypotheses should be selected beforehand and not altered part way through, we are forced to use the 100 km test distance on the Kobe earthquake data also.

The detailed figures and chi-squared test for the revised distance of 90 km are in Table 2.

The high chi-squared result in Table 2 was overwhelmingly caused by a relatively much greater number of reports of unusual sea phenomena (“geophysical”) from within 90 km, specifically “death waves”, mini-tsunamis well known in the area as alleged precursors of large earthquakes, which in this case caused well documented damage above usual tide limits.

3.1.2 Izmit earthquake: time analysis

A test for precursor reports on the last day before the Izmit earthquake (Table 3), combining appropriate data, gave a chi-squared of 69.7 ($p=14.9 \times 10^{-15}$) which is highly significant and supported the hypothesis that reports from the day before the earthquake contain precursors. Meteorological and geophysical reports gave the largest contribution to the chi-squared result.

3.1.3 Earlier precursors

A subsidiary testable hypothesis in Rikitake (2001) was that other precursors might exist in significant numbers, back to a

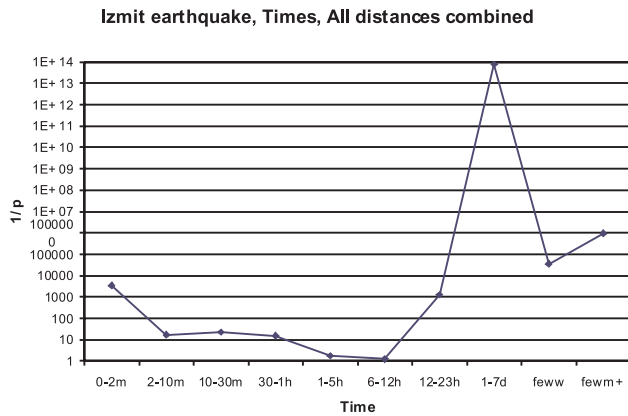


Fig. 3. Change of chi-squared with precursor time (Izmit earthquake).

limit of ten days before the earthquake. To test this, the data for the previous day were divided into smaller time classes and each was compared with all other times. The results are in Fig. 3 and show that the point of most significance is outstandingly 1–7 day so Rikitake's hypothesis was confirmed for the Izmit earthquake. The reason is again the death waves, but meteorological phenomena were also even more important (see Table 3), and these consisted mainly of various unusual colours and lights seen in the sky.

3.2 Kobe earthquake

3.2.1 Kobe earthquake: distance analysis

Following the Izmit earthquake calculations, the hypotheses were tested against the Kobe earthquake data which existed in slightly more detailed classes than the Izmit earthquake data. The data used for testing the distance hypothesis are in Table 4.

This very high chi-squared of 117, is consistent with real precursors for a distance of 100 km. It is mainly accounted for by a very large number of meteorological reports, but Table 4 shows they are from outside 100 km rather than inside 100 km. This seemed at first to disprove a hypothesis which says the phenomena are inside 100 km, but when the original reports were re-read, this is not so. The reports from outside 100 km were of unusual cloud patterns (mainly out-of-season towering cumulus) occurring within 100 km, which could not be seen inside 100 km because the observers were right underneath. Probably these reports should have been reclassified as reports from inside 100 km. This means there were indeed statistically significant occurrences within 100 km.

Two other distance tests were possible given the classification of the data, and the three were all highly significant because of excess meteorological reports: <50 km Chi-squared 103 ($p=2 \times 10^{-19}$), <100 km chi-squared 117 ($p=1 \times 10^{-23}$) and <200 km, chi-squared 90 ($p=1 \times 10^{-16}$). This test shows within its limited range, that 100 km was optimum, but more detailed classes were not possible due to lack of information in the database. There appeared to be significant contribu-

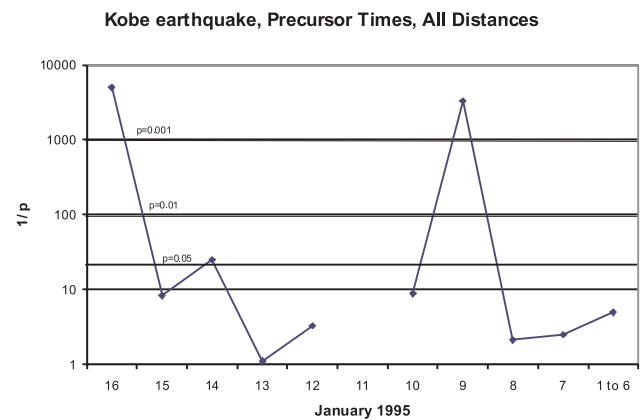


Fig. 4. Change of chi-squared with precursor time (Kobe earthquake). There are no data for 11 January.

tions to chi-squared from excess animal, bird and similar reports at <100 km.

3.2.2 Kobe earthquake: time analysis

The Kobe earthquake data were available mostly in daily time divisions and were not always strictly identical with the Izmit earthquake data. The data from the day before the earthquake were compared with all other prior data as shown in Table 5 below.

The chi-squared value of 29.7 ($p=5 \times 10^{-4}$) is high, confirming the hypothesis that the day before the earthquake contains significant precursors. Alleged electronic precursors within the “sundry” class predominate.

3.2.3 Kobe earthquake: earlier possible precursors

The results of testing the 10-day hypothesis of Rikitake (2001) are below in Table 6, and Fig. 4, including an extra point i.e. 1–6 January 1995, to complete coverage of January.

The figure shows a peak on 9 January 1995 with chi-squared of 13.0 ($p=3.0 \times 10^{-4}$). Re-reading the original reports shows this peak is due to an excess of meteorological reports on the 9 January which are absent on the 16 January. Agreeing with Rikitake's hypothesis, there is indeed a second alleged precursor peak within the previous 10 days (the small peak on 14 January is only marginally significant). The above results were confirmed by data from December (not shown) in which no significant chi-squared results were found. So 9 January and 16 January were anomalous but no other day right back to the beginning of December.

Only two days out of the six weeks before the Kobe Earthquake are anomalous. For all the other days the proportions of reports in the various classes remain similar. This supports the null hypothesis that the proportions will not usually change with time before an earthquake.

The high number of precursors on 9 January 1995 coincides with abnormal electrical activity in the atmosphere (TV interference etc.) as reported by others (Wadatsumi, 1995; Nagao et al., 2002).

Table 4. Chi-squared analysis for possible distance effects connected with the Kobe earthquake.

	Observed <101 km	Observed >100 km	Expected for >100 km	Chi-squared
Meteorological	249	85	31.9	88
Geophysical	89	9	11.4	0.5
Human	69	6	8.8	0.9
Animal	261	16	33.4	9.0
Bird	213	12	27.2	8.5
Sundry*	173	7	22.2	10.0
Totals:	1054	135	135	117
			$p=$	8.88×10^{-24}

Table 5. Chi-squared analysis for 16 January 1995. Alleged precursors for the Kobe Earthquake.

	Observed, Jan 16 th	Observed Earlier data	Expected Earlier data	Chi-squared
Meteorological	138	116	124.1	0.54
Geophysical	38	33	34.2	0.042
Human	35	15	31.5	8.64
Animal	104	86	93.6	0.61
Birds	102	103	91.8	1.37
Fish	34	28	30.6	0.22
Reptiles	5	7	4.5	1.39
Fish+Plants	14	21	12.6	5.6
Sundry	19	31	17.1	11.3
Sum:	489	440	440	29.7
			$p=$	0.000489

* This comprised reports involving fish, reptiles, insects, plants and electronic anomalies.

3.3 Psychological contribution

The proportion of reports between classes mostly does not differ for various large distances or times away from the earthquake occurrence and we assume that this distribution is due to psychological invention, that is, a preference for thinking some particular types of event are more likely to be precursors than others. This seems to depend on different national psychological characteristics. For the Kobe earthquake (e.g. Table 5) the order of report popularity was Meteorological > Birds > Animals, however for the Izmit earthquake (Table 3) it was Animals (including birds) > Geophysical > Meteorological. Detailed reasons for this ordering would be interesting to discuss but are beyond the scope of this paper.

Generally the reports consist of the ones due to psychological invention and the ones on actual precursors. The number of reports for the precursors is found by subtracting the expected psychological numbers from the total number of reports. Thus in Table 5, under “Sundry”, there should be 13 precursors because in the total of 31 “observed”, the “expected” 17 reports are taken to be of psychological origin. An examination of all the tables shows that the largest ratio between observed and expected results is 2–3. This means that even for the most significant results 30–50% are of psychological origin and 50–70% are precursors.

Table 6. Chi-squared analysis of alleged precursor dates for the Kobe earthquake.

Date tested	Chi-squared	Significance Level
16 January	31.8	$P=2.0 \times 10^{-4}$
15 January	14.1	$P=0.12$
14 January	17.5	$P=0.041$
13 January	3.9	$P=0.92$
12 January	2.5	$P=0.29$
11 January	Insufficient reports	
10 January	14.4	$P=0.11$
9 January	13.0	$P=3.0 \times 10^{-4}$
8 January	1.3	$P=0.48$
7 January	3.8	$P=0.39$
1–6 January	7.3	$P=0.20$

4 Conclusion

This work has found statistical evidence for an excess of precursors reported the day before the Izmit and Kobe earthquakes, and for a radius of 90 km, implying that real precursors exist. There is good support from both Izmit and Kobe earthquakes for the subsidiary hypothesis that additional significant precursors may exist in the preceding 10 days. The numerical hypotheses in Tributsch (1984) and Rikitake (2001) are thus mostly confirmed.

The number of mistaken correlations or valid precursor reports can be approximately quantified by the above method, but show that valid reports are diluted with almost an equal number of spurious reports, so false alarms using this basis would be common. However it is intriguing and unusual that a sociological method (solicited reports from the public) can provide some backing for alleged physical events. Such a method cannot indicate any mechanism for precursor phenomena, for which other discussions must be consulted (e.g. Ikeya, 2004).

A dense network of observers would be necessary to achieve more precise results or use the results in any practical way; probably about a few hundred in every likely epicenter area, and this is probably not logistically feasible.

This article is a further confirmation of an increasing number of objective reports (e.g. Kraemer et al., 1976 and Yokoi et al., 2003) which contain statistical arguments for the real existence of precursors, although the exact mechanisms are still under debate. The hypotheses should be tested against further data sets. This should be done soon, because it seems likely that if predictions of seismic activity are published in the media as they may increasingly be, they could easily affect public attitudes to precursors in unpredictable ways and distort precursor reports (e.g. Varotsos et al., 1996a, 1996b).

Acknowledgements. We wish to thank the media representatives in Osaka/Kobe and Istanbul/Izmit for their very ready cooperation. N. E. Whitehead wishes to thank the Japanese Society for the Promotion of Science, for a Senior Fellowship in 2003–2004 and U. Ulusoy wishes to thank the Osaka University Frontier Research Projects Center for travel funding.

Edited by: M. Contadakis

Reviewed by: S. Uyeda and P. Varotsos

References

- Ikeya, M.: Earthquakes and Animals, World Scientific, Singapore, 285pp, 2004.
- Kraemer, H. C., Smith, B. E., and Levine, S.: An animal behaviour model for short term earthquake prediction, in: Abnormal Animal Behavior prior to Earthquakes, edited by Evernden, J. F., U.S. Department of Commerce, Springfield, Virginia, 231–232, 1976.
- Nagao, T., Enomoto, Y., Fujinawa, Y., Hata, M., Hayakawa, M., Huang, Q., Izutsu, J., Kushida, Y., Maeda, K., Oike, K., Uyeda, S., and Yoshino, T.: Electromagnetic anomalies associated with the 1995 Kobe earthquake, *J. Geodynamics*, 33, 401–411, 2002.
- Rikitake, T.: Prediction and Precursors of Major Earthquakes, Terra Scientific, Tokyo, 197pp, 2001.
- Tributsch, H.: When the Snakes Awake (Paperback edition), MIT Press, Cambridge, Massachusetts, 248pp, 1984.
- Ulusoy, U. and Ikeya, M.: Precursor Statements of Earthquakes, Neyir Publisher, Ankara, Turkey, 297pp, in turkish, 2001.
- Varotsos, P., Eftaxias, K., and Lazaridou, M.: Reply to “A false alarm based on electrical activity recorded at a VAN station in northern Greece in December 1990” by Datakpoulos, J. and Stavrakis, G., *Geophys. Res. Lett.*, 23, 1359–1362, 1996a.
- Varotsos, P., Lazaridou, M., Eftaxias, K., Antonopoulos, G., Makris, J., and Kopanas, J.: Short-term earthquake prediction in Greece by seismic electric signals, in: A Critical Review of VAN, (edited by Lighthill, J., World Scientific, Singapore, 29–76, 1996b.
- Wadatsumi, K.: 1519 Statements on Precursors, Tokyo Pub., Tokyo, 266pp, in japanese, 1995.
- Yokoi, S., Ikeya, M., Yagi, S., and Nagai, K.: Mouse circadian rhythm before the Kobe earthquake in 1995, *J. Bioelectromagnetics*, 24, 289–291, 2003.